

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF TEXAS
HOUSTON DIVISION

JAMES SIMMONS,

Plaintiff,

VS.

UBS FINANCIAL SERVICES, INC.
and PRELLE FINANCIAL GROUP,
INC.,

Defendants.

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No. 4:19cv3301

Jury Trial Demanded

NOTICE OF APPEAL

Plaintiff James Simmons appeals the Order and Final Judgment (Doc. 18) and Memorandum and Order (Doc. 13) to the United States Court of Appeals for the Fifth Circuit.

/s/ David C. Holmes

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ATTORNEY FOR PLAINTIFF

CERTIFICATE OF SERVICE

I certify that a true and correct copy of this pleading was sent electronically to all counsel of record on January 24, 2020.

/s/ David C. Holmes

David C. Holmes